



HIF-1 α , NOTCH1, ADAM12, and HB-EGF are overexpressed in mucoepidermoid carcinoma

Dimitra Castelo Branco, DDS, MSc, Natacha Malu Miranda da Costa, DDS, MSc, Caio Tadashi Saab Abe, Maria Sueli da Silva Kataoka, DDS, MSc, PhD, João de Jesus Viana Pinheiro, DDS, MSc, PhD, and Sérgio de Melo Alves Júnior, DDS, MSc, PhD

Objective. Intratumoral hypoxia (IH) occurs during cellular proliferation of malignant tumors. This phenomenon is characterized by a decrease in oxygen levels in the neoplastic microenvironment. Throughout this condition, the proteins HIF-1 α , NOTCH1, ADAM12, and HB-EGF can be activated, triggering signaling pathways associated with tumor invasiveness through invadopodia formation. This study aimed to evaluate the immunostaining of HIF-1 α , NOTCH1, ADAM12, and HBEGF in 19 cases of mucoepidermoid carcinoma (MEC) and 10 samples of salivary glands (control group).

Study Design. The immunoperoxidase technique was employed to detect the proteins of interest. The Student *t* test was used to compare immunoperoxidase between MEC samples and the control group.

Results. Protein immunostaining was statistically significantly higher in MEC samples than in the control group ($P < .01$), and the proteins were especially overexpressed in epidermoid cells of MEC.

Conclusions. We suggest that there is an association between the NOTCH1 signaling pathway activated by IH and the biologic behavior of MEC. (Oral Surg Oral Med Oral Pathol Oral Radiol 2019;127:e8–e17)

Mucoepidermoid carcinoma (MEC) is the most common malignant neoplasm of the salivary glands, representing about 30% of all malignant tumors in that region.^{1,2} Clinically, it is a slow-growing mass, most often located in the parotid gland and has high rates of local recurrence and metastasis.³ Histologically, MEC is composed of 3 cell populations: mucous, intermediate, and epidermoid.³⁻⁶ These cells can be organized into cystic, solid, or infiltrative growth patterns.³⁻⁶

Malignant tumors of the salivary glands, in particular MEC, have been associated with lower sensitivity to therapy because of their capacity for invasion and metastasis formation.^{7,8} Acute hypoxia is the limited perfusion and temporary reduction of the oxygen (O₂) supply in the tumor microenvironment. However, chronic hypoxia results from insufficient vascularization and limitation of O₂ diffusion during tumor growth.^{9,10} Neoplastic invasion is related to intratumoral hypoxia (IH). Clinically, IH is related to poorer prognosis, especially in head and neck cancer.^{11,12} Moreover, evidence shows that acute hypoxia in neoplastic cells contributes to tumoral progression.⁹⁻¹⁴

Under hypoxic conditions, tumor cells can develop compensatory mechanisms that contribute to cellular

proliferation, such as angiogenesis, changes in cellular metabolism, and activation of proteins and transcription factors involved in the processes of invasion and metastasis.^{11,12}

Permanent alterations in neoplastic cells under IH conditions are regulated mainly by hypoxia-inducible factor-1 α (HIF-1 α).^{15,16} HIF-1 α is a transcription factor that regulates the hypoxia responsive elements, which regulate homeostasis of systemic and cellular oxygen O₂^{9,15}; it is also associated with transcription of genes and activation of proteins and growth factors involved in cellular development.¹⁶⁻¹⁸ There is an important role for HIF-1 α in the stabilization of intracellular responses triggered by Notch homolog 1, translocation-associated-Drosophila (NOTCH1) via Jagged 2.^{15,19,20}

The NOTCH1 signaling pathway may be activated in a cell contact–dependent interaction via receptor–ligand binding (NOTCH1–Jagged 2). Under hypoxia conditions, NOTCH1 is associated with activation of growth factors and transcription of genes,^{19,20} such as A disintegrin and metalloprotease 12 (ADAM12).^{15,21}

ADAM12 is a metalloprotease belonging to the adamalysin family, and it is overexpressed in many

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Department of Oral Pathology, School of Dentistry, Federal University of Pará–UFPA, Belém, Brazil.

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Statement of Clinical Relevance

Mucoepidermoid carcinoma overexpresses HIF-1 α , NOTCH1, ADAM12, and HBEGF. Stabilization of HIF-1 α under tumor hypoxia may increase the activity of the NOTCH signaling pathway and raise ADAM12 activity, which could contribute to invadopodia formation and tumor metastasis.

Table I. Distribution of 19 mucoepidermoid carcinoma (MEC) cases according to demographic, life-style, and clinicopathologic variables

Variables	Cases	
	N	%
Age (years)		
<31	4	21
31–40	4	21
45–50	2	10.5
51–60	4	21
61–70	2	10.5
71–80	2	10.5
81–90	1	5.5
Gender		
Male	9	47.36
Female	10	52.64
Race		
Caucasian	11	57.90
Non-Caucasian	8	42.10
Alcohol Consumption		
Yes	9	47.37
No	10	52.63
Smoking Habit		
Yes	6	31.60
No	13	68.40
Clinical Stage		
Stage I–II	9	47.36
Stage III–IV	10	52.64
Histopathologic Grading		
I	14	73.68
II	0	0
III	5	26.32
Site		
Palate	7	36.84
Parotid gland	10	52.64
Submandibular gland	2	10.52
Actual State		
Alive	16	84.21
Not alive	3	15.79

carcinoma types.²² ADAM12 activation allows the shedding of growth factors from their ectodomains located at the cellular membrane, such as heparin-binding endothelial growth factor–like growth factor (HB-EGF).^{20,23}

HB-EGF is a ligand of epidermal growth factor receptor (EGFR). The membrane-anchored HB-EGF (pro-HB-EGF) is cleaved on the cell surface by ADAM12, and the soluble form (HB-EGF-s) is released. Overexpression of HB-EGF-s is found in malignant neoplasms and is related to the mechanisms of invadopodia formation, pathologic cell proliferation, and metastasis.^{23,24}

In view of the relationship between the hypoxia signaling pathway and malignant tumor progression, we aimed to analyze the immunoeexpression of HIF-1 α , NOTCH1, ADAM12, and HB-EGF in cases of MEC. This may help elucidate specific cellular mechanisms involved in MEC behavior.

MATERIALS AND METHODS

Nineteen cases of MEC (Table I) and 10 samples of normal salivary glands were retrieved from the files of the Department of Oral Pathology at the School of Dentistry of University Center of Pará (CESUPA), Belém, Pará, Brazil. Histologic grading was performed according to the criteria proposed by Brandwein et al.³ Ten samples of normal salivary glands were included as a control group. This study followed the guidelines of Helsinki Declaration and was approved by the Ethics Committee of the Institute of Health Sciences at Federal University of Pará (1.516.755).

Formalin-fixed, paraffin-embedded tissues were studied by using the immunoperoxidase technique. Four-micron sections were obtained and mounted on

Table II. Higher immunoeexpression of HIF-1 α in 19 MEC samples in comparison with the control group of salivary glands

MEC			CG			P value
Intensity of staining (%)	Score	Localization	Intensity of staining (%)	Score	Localization	
57.01	2	Cytoplasmic and nuclear	29.8	1	Nonspecific	.0012*
60.05	2		4.6	1		
70.3	2		46.6	1		
74.3	2		38.2	1		
50.3	2		18.3	1		
33	1		29.6	1		
38.5	1		19.7	1		
31.5	1		42.7	1		
42.6	1		39.8	1		
80	2		35.4	1		
59.2	2		–	–		
60.5	2		–	–		
42.5	1		–	–		
48.7	1		–	–		
65.1	2		–	–		
30.1	1		–	–		

(continued)

Table II. Continued

MEC			CG			P value
Intensity of staining (%)	Score	Localization	Intensity of staining (%)	Score	Localization	
45.2	1		–	–		
47.3	1		–	–		
33.1	1		–	–		

*Statistical significance: $P \leq .01$.

CG, control group; MEC, mucoepidermoid carcinoma.

Table III. Higher immunoexpression of NOTCH1 in 19 MEC samples in comparison with the control group of salivary glands.

MEC			CG			P value
Intensity of staining (%)	Score	Localization	Intensity of staining (%)	Score	Localization	
76.3	2		51.4	2		
24.9	1		39.2	1		
70.1	2		29.8	1		
65.2	2		32.6	1		
51.7	2		40.6	1		
67.0	2		40.7	1		
40.1	1		47.7	1		
80.8	2		34.2	1		
52.8	2		49.6	1		
44.8	1	Cytoplasmic and nuclear	44.1	1	Nonspecific	.0012*
68.0	2		–	–		
70.2	2		–	–		
55.3	2		–	–		
54.6	2		–	–		
60.9	2		–	–		
72.8	2		–	–		
66.1	2		–	–		
57.5	2		–	–		
71.1	2		–	–		

*Statistical significance: $P \leq .01$.

CG, control group; MEC, mucoepidermoid carcinoma.

Table IV. Higher immunoexpression of ADAM12 in 19 MEC samples in comparison with the control group of salivary glands.

MEC			CG			P value
Intensity of staining (%)	Score	Localization	Intensity of staining (%)	Score	Localization	
69.4	2		38.9	1		
63.9	2		30.4	1		
51.7	2		32	1		
66.9	2		40.4	1		
50.8	2		39.4	1		
52	2		45.4	1		
60.1	2		42.1	1		
67.3	2		32.9	1		
63	2		41.3	1		
70.7	2	Cytoplasmic and nuclear	34	1	Nonspecific	< .0001*
70	2		–	–		
66.9	2		–	–		
53.6	2		–	–		
61.9	2		–	–		
83.4	2		–	–		
57.2	2		–	–		
59.6	2		–	–		

(continued)

Table IV. Continued

MEC			CG			P value
Intensity of staining (%)	Score	Localization	Intensity of staining (%)	Score	Localization	
60.1	2		—	—		
62.3	2		—	—		

*Statistical significance: $P \leq .01$.

CG, control group; MEC, mucoepidermoid carcinoma.

Table V. Higher immunoexpression of HB-EGF in 19 MEC samples in comparison with the control group of salivary glands

MEC			CG			P value
Intensity of staining (%)	Score	Localization	Intensity of staining (%)	Score	Localization	
68.6	2		29.8	1		
65.9	2		4.6	1		
69.3	2		46.7	1		
58.9	2		38.2	1		
71.1	2		18.4	1		
82	2		29.6	1		
71	2		19.8	1		
84.5	2		42.8	1		
52.9	2		39.9	1		
72.5	2	Cytoplasmic and nuclear	35.4	1	Nonspecific	< .001*
77.9	2		—	—		
60.7	2		—	—		
66.9	2		—	—		
71	2		—	—		
68.9	2		—	—		
71.5	2		—	—		
46.1	1		—	—		
63.2	2		—	—		
69.7	2		—	—		

*Statistical significance: $P \leq .01$.

CG, control group; MEC, mucoepidermoid carcinoma.

poly-D-lysine-coated slides (Sigma-Aldrich, St. Louis, MO). Sections were dewaxed in xylene and rehydrated in a graded ethanol series. Antigen retrieval was carried out in a Pascal chamber (Dako, Carpinteria, CA) for 30 seconds. Sections were immersed in 3% hydrogen peroxide in methanol for 20 minutes to inhibit endogenous peroxidase activity and then blocked with 1% bovine serum albumin (BSA; Sigma, St. Louis, MO) in phosphate-buffered saline for 1 hour.

The samples were incubated with the following primary antibodies: anti-HIF-1 α (1:50, rabbit; Millipore, Darmstadt, CA); anti-NOTCH1 (1:250, rabbit, Millipore, Darmstadt, CA); anti-ADAM-12 (1:50, goat; Bioss, Cambridge, MA), and anti-HBEGF (1:15, goat; R&D Systems, MN). All primary antibodies were diluted in antibody diluent solution (Dako, Carpinteria, CA) and incubated for 1 hour at room temperature. Subsequently, the slides were incubated for 30 minutes with the *EnVision Plus* (Dako, Carpinteria, CA) detection system. Diaminobenzidine (Sigma, St. Louis, MO) was used as a chromogen, and the sections were

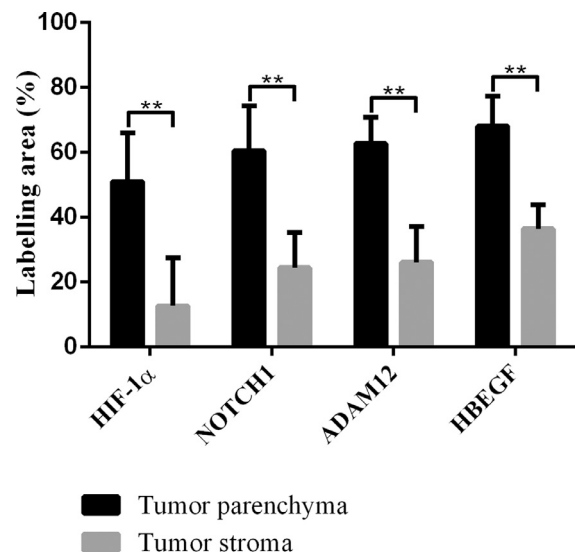


Fig. 1. Higher immunoexpression of HIF-1 α , NOTCH1, ADAM-12, and heparin-binding endothelial growth factor--like growth factor (HB-EGF) in tumor parenchyma in comparison with stroma of mucoepidermoid carcinoma (MEC). Statistical significance: ** $P \leq .01$.

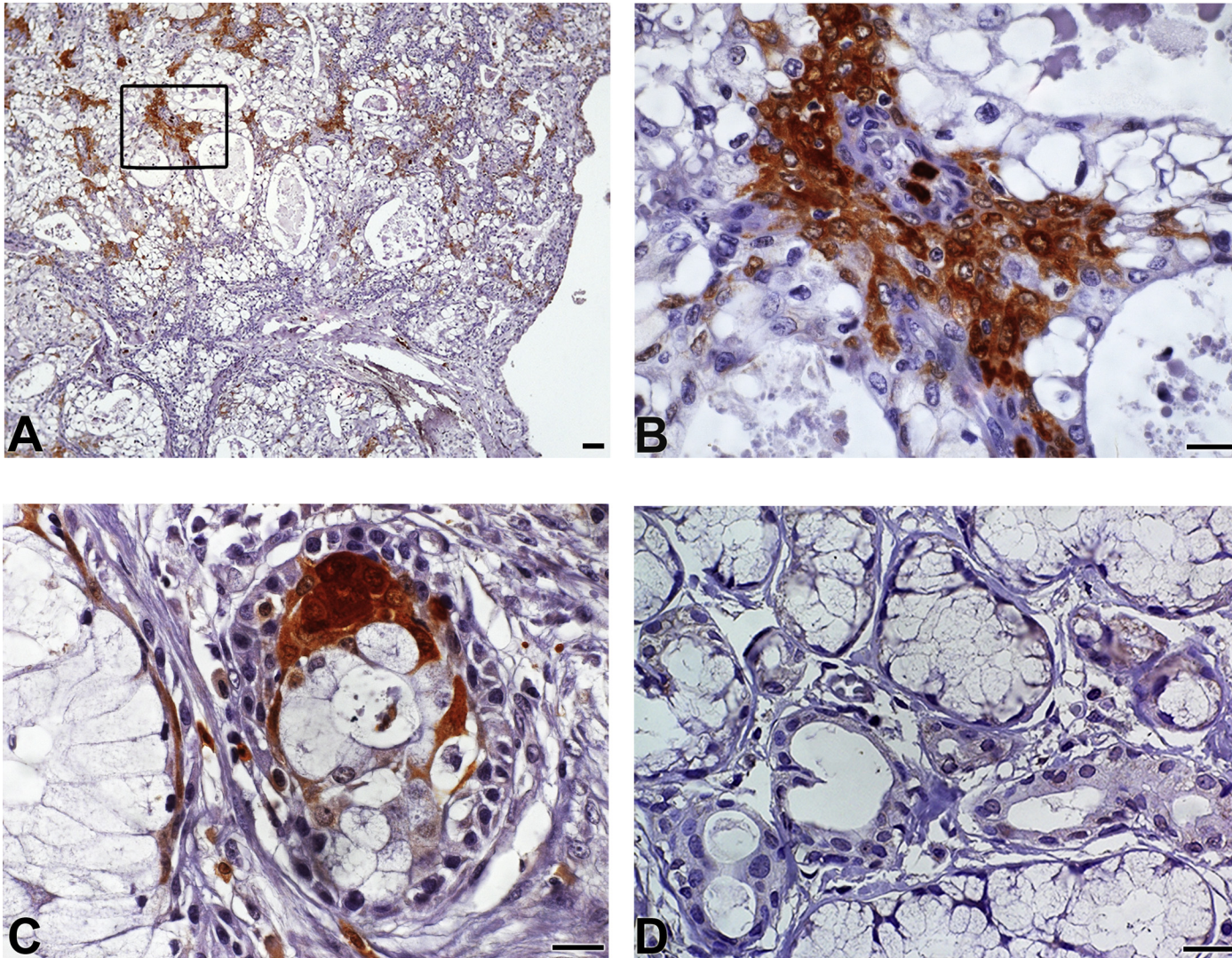


Fig. 2. HIF-1 α immunoexpression in mucoepidermoid carcinoma (MEC) and control group (CG) samples. **A**, HIF-1 α shows strong immunostaining in epidermoid cells of MEC, and the stroma was weakly stained. **B**, The cytoplasm and nuclei of epidermoid cells were strongly stained. **C**, Weakly stained mucous cells of MEC surrounding a cystic area. **D**, Immunostaining in secretory cells of CG (magnifications $\times 100$ and $\times 630$; scale bars: 50 and 20 μm). A high-resolution version of this slide for use with the Virtual Microscope is available as eSlide: [VM05303](#).

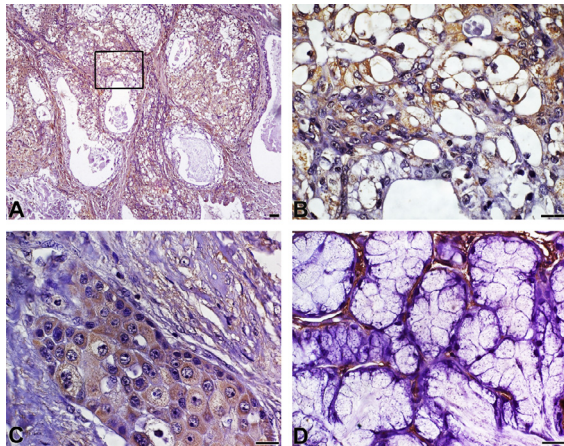


Fig. 3. NOTCH1 immunorexpression in mucoepidermoid carcinoma (MEC) and control group (CG) samples. **A**, NOTCH1 was strongly stained in epidermoid cells of MEC. **B**, Strong staining of the cytoplasm of epidermoid cells; mucous cells were weakly stained. **C**, Cytoplasmic immunorexpression in intermediate and epidermoid cells. **D**, Immunostaining located in glandular stroma of CG (magnifications $\times 100$ and $\times 630$; scale bars: 50 and 20 μm). A high-resolution version of this slide for use with the Virtual Microscope is available as eSlide: [VM05304](#).

counterstained with Mayer hematoxylin (Sigma, St. Louis, MO). Finally, the samples were mounted in Permount.

Brightfield images from 10 randomly selected images from each sample were acquired by using an AxioScope 40 microscope (Carl Zeiss, Oberkochen, Germany) equipped with a charge-coupled device color camera. All images were acquired at the same magnification ($\times 400$).

Immunorexpression analysis was carried out for HIF-1 α , NOTCH1, ADAM12, and HB-EGF in the MEC and control groups. Areas of diaminobenzidine staining were separated and segmented by using the color deconvolution plug-in of ImageJ software (public domain; software developed by Wayne Rasband, MD), and the average percent labeled area (%) was calculated.

The immunorexpression intensity and distribution were evaluated according to the criteria proposed by Vasconcelos et al.²⁵ and adapted by us to determine the immunostaining in the parenchyma and stroma and the cellular localization of the staining (membrane, nucleus, and cytoplasm) in MEC cells. Staining intensity was classified into the following scores, according to the percentage of labeled area: 0 = no staining; 1 = weak staining (<50% labeled cells); and 2 = strong staining ($\geq 50\%$ labeled cells). We considered greater than 10% of labeling cells as positive staining. We used our pattern of evaluation to define greater than 50% of labeling cells as strong intensity. The

distribution was also quantitatively assessed as focal (<50% labeled cells) and diffuse ($>50\%$ labeled cells).

Data were analyzed by using GraphPad Prism 6.0 software (GraphPad Software, Inc., San Diego, CA). A significance level of 1% was applied ($P \leq .01$). The Student *t* test was performed to compare the immunorexpression of HIF-1 α , NOTCH1, ADAM-12, and HB-EGF between the MEC and control groups, between the stroma and the tumor parenchyma, and between the mucous and epidermoid cells of MEC. Spearman's correlation test was performed for determining the correlation between the intensity of staining and the histopathologic grade^{3,6} in the MEC cases.

RESULTS

HIF-1 α , NOTCH1, ADAM-12, and HB-EGF were expressed in all samples of MEC (n = 19) and in the control group of normal salivary glands (n = 10). However, immunostaining was statistically more intense in the MEC samples than in the control group (Tables II-V).

The tumoral stroma of the MEC samples showed low, weak, and focal immunorexpression in comparison with the tumor parenchyma (Figure 1).

HIF-1 α had a strong, diffuse immunorexpression (mean immunostaining 51%) in the nucleus and cytoplasm of the MEC parenchyma (Figures 2A to 2C). In the control group (Figure 2D), we found weak and focal expression for HIF-1 α (mean 30.5%).

NOTCH1 showed a high intensity of staining and a diffuse distribution (mean 60.5%) located in the cytoplasm and nucleus of the epidermoid cells of the MEC parenchyma (Figures 3A to 3C). The control group (Figure 3D) presented weak staining intensity (mean 41%).

ADAM12 immunorexpression was strong, diffuse, and located in the cytoplasm and nucleus (Figures 4A to 4C) of epidermoid cells. ADAM12 showed staining intensity and distribution mean of 62.68%. The normal salivary glands samples showed weak and focal immunostaining (mean labeling area 37%) (Figure 4D).

Immunostaining of HB-EGF was strong, diffuse, cytoplasmic, and nuclear in epidermoid cells (Figures 5A to 5C) of tumor parenchyma (68%). The control group demonstrated weak staining (Figure 5D) (mean 30.5%).

Moreover, the proteins of interest exhibited intense and statistically higher ($P \leq .01$) immunorexpression in the epidermoid cells than in the mucous cells of the MEC cases (Figure 6).

There was no correlation between each protein of interest and the histopathologic grade of the MEC cases (Table VI).

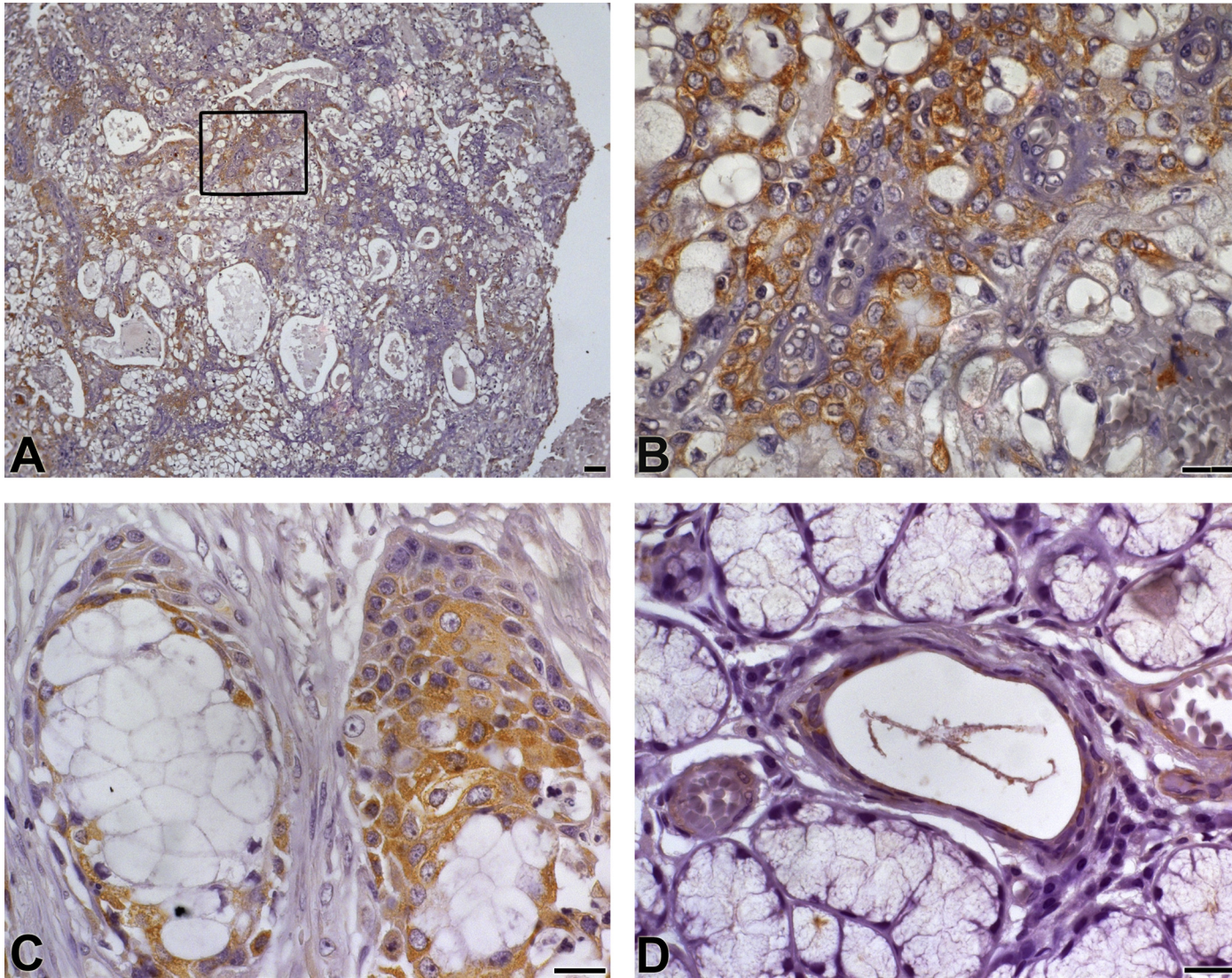


Fig. 4. ADAM12 immunoeexpression in mucoepidermoid carcinoma (MEC) and control group (CG) samples. **A and B**, Immunoeexpression was strong and uniform in the cytoplasm of epidermoid cells in MEC, and the stroma was weakly stained. **C**, Epidermoid cells with intensely stained cytoplasm surrounding mucous cells with low labeling intensity. **D**, Immunostaining in the glandular stroma and CG myoepithelial cells (magnifications $\times 100$ and $\times 630$; scale bars: 50 and 20 μm). A high-resolution version of this slide for use with the Virtual Microscope is available as eSlide: [VM05305](#).

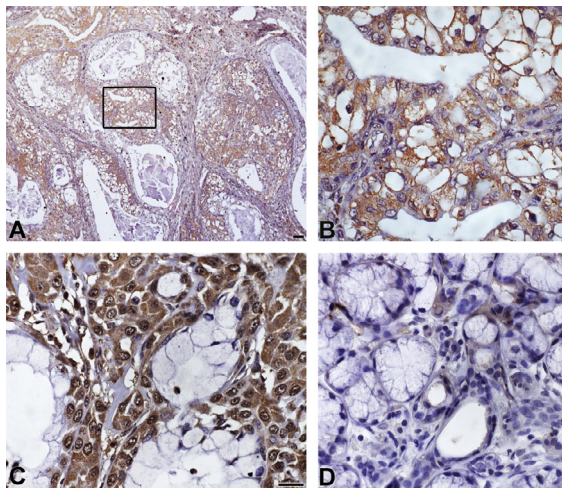


Fig. 5. Heparin-binding epidermal growth factor–like growth factor (HB-EGF) immunoexpression in mucoepidermoid carcinoma (MEC) and control group (CG) samples. **A and B**, Cytoplasm and nuclear immunostaining of epidermoid cells of MEC. **C**, Immunostaining of cytoplasm and nucleus of epidermoid cells and presence of mucous cells. **D**, Immunostaining located in glandular stroma of CG (magnifications $\times 100$ and $\times 630$; scale bars: 50 and 20 μm). A high-resolution version of this slide for use with the Virtual Microscope is available as eSlide: [VM05306](#).

DISCUSSION

MEC is the most common malignant tumor of the salivary glands and exhibits varied biologic behavior, which makes therapy difficult.^{3,6} Studies aimed at understanding the signaling pathways of the MEC

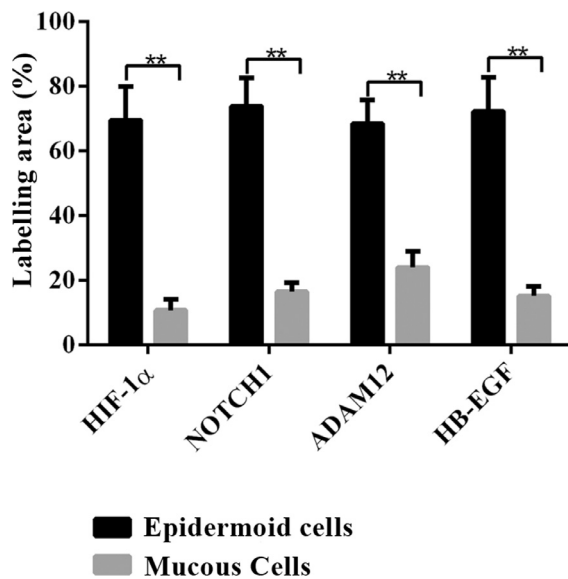


Fig. 6. Higher immunoexpression of HIF-1 α , NOTCH1, ADAM-12, and heparin-binding epidermal growth factor–like growth factor (HB-EGF) in epidermoid cells in comparison with mucous cells of mucoepidermoid carcinoma (MEC). Statistical significance: ** $P \leq .01$.

pathologic processes deserve attention. Therefore, this original work described the immunoexpression of IH-related proteins in MEC samples; this has not been reported so far in the current literature. We observed higher immunostaining of HIF-1 α , NOTCH1, ADAM12, and HB-EGF, mainly in the tumor epidermoid cells in comparison with stroma.

We found strong nuclear and cytoplasmic expression of HIF-1 α in the epidermoid cells. Stable expression of HIF-1 α occurs in the nucleus, providing stabilization of the intracellular responses and transcription of several genes associated with cellular invasiveness.^{12,20} HIF-1 α exhibits classic nuclear localization but sometimes can be found expressed in the cytoplasm as a result of the saturation of proteins responsible for cytoplasmic HIF-1 α degradation, which could result in high cytoplasmic levels of HIF-1 α .²⁶

Studies have associated the overexpression of HIF-1 α with therapy resistance, poor prognosis, and aggressiveness of many malignant neoplasms.²⁷⁻²⁹ Nevertheless, under hypoxic conditions, HIF-1 α can induce the formation of invadopodia, which are finger-like cellular projections responsible for proteolysis located in the extracellular matrix.³⁰⁻³³ This consequently increases extracellular matrix degradation, leading to tumor progression and metastasis.³³

Stabilization of HIF-1 α at low concentrations of O₂ increases the expression of Jagged-2 ligand, triggering NOTCH1 signaling.³⁴ Deregulation and overexpression of NOTCH1 has been verified in certain types of cancers.³⁵⁻³⁷ Our findings showed strong cytoplasmic and punctate nuclear immunostaining of NOTCH1 in epidermoid cells. This could occur during NOTCH1 signaling, when the intracellular domain of NOTCH1 is cleaved and translocates to the nucleus. This process will regulate a series of events associated with cellular proliferation and differentiation.¹⁹⁻²¹

Among the proteins activated by NOTCH1 is ADAM12.¹⁹ Our results confirmed strong immunostaining of ADAM12 in MEC, mainly in the cytoplasm of the epidermoid cells of the tumor parenchyma. This labeling pattern is consistent with that found in studies in breast carcinoma³³ and ameloblastoma.^{38,39} ADAM12 is a metalloproteinase responsible for the cleavage of biologically active ligands from its ectodomains and is required for the formation of distant metastases because it contributes to the formation of invadopodia through the release of growth factors, such as HB-EGF.^{23,38,39}

In this study, we observed overexpression of HB-EGF predominantly in the cytoplasm and occasionally in the nucleus of MEC epidermoid cells. The pattern of cytoplasmic labeling of HB-EGF coincides with the location of ADAM12 staining, which may be related to the role of this metalloproteinase in the cleavage and release of HB-EGF in MEC.

Table VI. Correlation between the staining intensity of HIF-1 α , NOTCH1, ADAM12, and HB-EGF and histopathologic grade of 19 MEC cases

	Variable			r	P value
	Histologic grade				
	Low	High	Total		
HIF-1 α					
Staining intensity				0.1	.6
Weak	4 (21.6)	3 (15.7)	7 (37.3)		
Strong	11 (57.5)	1 (5.2)	12 (62.7)		
NOTCH1					
Staining intensity				0.2	.3
Weak	2 (10.5)	1 (5.2)	3 (15.7)		
Strong	13 (68.4)	3 (15.7)	16 (84.3)		
ADAM12					
Staining intensity				0.1	.6
Weak	0	0	0		
Strong	15 (78.4)	4 (21.6)	19 (100)		
HB-EGF					
Staining intensity				0.1	.6
Weak	1 (5.2)	0	1 (5.2)		
Strong	15 (78.4)	3 (15.7)	18 (94.8)		

Data presented as n (%).
 r = Correlation coefficient.
 Statistical significance: $P \leq .01$.

In addition, the intracellular domain of ADAM12 can bind to TKs5. TKs5 is a key protein in the recruitment of actin filaments that compose invadopodia. That process shows the indirect influence of ADAM12 in the formation of invadopodia, which are primordial structures in the onset of neoplastic dissemination in both benign and malignant cases.^{36,40}

In the analysis of the staining patterns of the proteins under study, we observed that all of them showed intense staining on epidermoid cells and poor labeling on the mucous cells of MEC. The predominance of the epidermoid cell type is one of the main characteristics of histopathologic grade III,³ and it is related to lower survival rates and higher mortality.⁴ Thus, the predilection of these proteins for epidermoid cells may be related to the greater aggressiveness of the MEC.

CONCLUSIONS

From these findings, we conclude that HIF-1 α , NOTCH1, ADAM12, and HB-EGF proteins may be associated with the mechanisms of MEC invasion. The overexpression of these proteins demonstrates that neoplastic cells of the MEC are in IH, a condition that leads to the activation of the signaling pathway capable of inducing invadopodia formation through a cascade of cellular events studied in this work; thus, this cascade may contribute to greater invasiveness and aggressiveness of the tumor.

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Reprint requests:

Dimitra Castelo Branco, Federal University of Pará, Institute of Health Sciences, School of Dentistry, Avenida Augusto Corrêa, 01, Belém PA, 66075-110, Brazil.
Dimitra.castelo@gmail.com